



Substitute for form 1449, PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 3

of _____

Complete if Known

Application Number	10/773,758
Filing Date	February 6, 2004
First Named Inventor	Wan, Julin
Art Unit	1755
Examiner Name	Not Yet Assigned
Attorney Docket Number	02307Z-132800US

U.S. PATENT DOCUMENTS+					
Examiner Initials*	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
CL	AA	US-5,039,632	08-13-1991	Riedel et al.	
CL	AB	US-5,386,006	01-31-1995	Matsumoto et al.	
CL	AC	US-5,424,126	06-13-1995	Tibbets et al.	
CL	AD	US-6,025,454	02-15-2000	Sneddon et al.	
CL	AE	US-6,242,626 B1	05-05-2001	Eiling et al.	
CL	AF	US-6,478,994 B1	11-12-2002	Sneddon et al.	

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)		

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
CL	AG	BUTCHEREIT, Elke et al.; "Precursor-Derived Si-B-C-N Ceramics: Oxidation Kinetics"; <i>J Am. Ceram. Soc.</i> , 2001, Vol. 84, No. 10, pp. 2184-2188.			
CL	AH	CHRIST, Martin et al.; "High-Temperature Mechanical Properties of Si-B-C-N-Precursor-Derived Amorphous Ceramics and the Applicability of Deformation Models Developed for Metallic Glasses"; <i>J Am. Ceram. Soc.</i> , 2000, Vol. 83, No. 12, pp. 3025-3032.			
CL	AI	CHRIST, Martin et al.; "Anelastic behavior of precursor-derived amorphous ceramics in the system Si-B-C-N"; <i>J Mater. Res.</i> , 2001, Vol. 16, No. 7, pp. 1994-1997.			
CL	AJ	FUNAYAMA, Osamu et al.; "Synthesis of a Polyborosilazane and its Conversion into Inorganic Compounds"; <i>J Am. Ceram. Soc.</i> , 1993, Vol. 76, No. 3, pp. 717-723.			
CL	AK	LIEW, Li-Anne et al.; "Ceramic MEMS: New Materials, Innovative Processing and Future Applications"; <i>American Ceramic Society Bulletin</i> , 2001, Vol. 80, No. 5, pp. 25-30.			
CL	AL	LOFFELHOLZ, Josue et al.; "Novel Access to Polyboro- and Polyalumosilazanes Suitable as Precursors for Ternary Nitride Ceramics"; <i>Adv. Mater.</i> , 1995, Vol. 7, No. 3, pp. 289-292.			
CL	AM	RAMAKRISHNAN, P.A. et al.; "Silicoboron-carbonitride ceramics: A class of high-temperature, dopable electronic materials"; <i>Applied Physics Letters</i> , 2001, Vol. 78, No. 10, pp. 3076-3078.			
CL	AN	RIEDEL, Ralf et al.; "A silicoboron carbonitride ceramic stable to 2,000 °C"; <i>Nature</i> , 1996, Vol. 382, pp. 796-798.			
CL	AO	SEYFERTH, Dietmar et al.; "Borasilazane Polymeric Precursors for Borosilicon Nitride"; <i>J Am. Ceram. Soc.</i> , 1990, Vol. 73, No. 7, pp. 2131-2133.			
CL	AP	WAN, Julin et al.; "In Situ Densification Behavior in the Pyrolysis Consolidation of Amorphous Si-N-C Bulk Ceramics from Polymer Precursors"; <i>Journal of the American Ceramic Society</i> , 2001, Vol. 84, No. 10, 1 page abstract.			

Examiner Signature		Date Considered	8/10/08
--------------------	--	-----------------	---------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.



Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
Sheet	4	of		Application Number	10/773,758
				Filing Date	February 6, 2004
				First Named Inventor	Wan, Julin
				Art Unit	1755
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	02307Z-132800US

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴			
NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.				T ²
CL	AQ	WANG, Zhi-Chang et al.; "Novel Silicon-Boron-Carbon-Nitrogen Materials Thermally Stable up to 2200 °C"; <u>J.Am. Ceram. Soc.</u> , 2001, Vol. 84, No. 10, pp. 2179-2183.				
AR	AR	WEINMANN, Markus et al.; "Synthesis and Thermal Behavior of Novel Si-B-C-N Ceramic Precursors"; <u>Chem. Mater.</u> , 2000, Vol. 12, pp. 623-632.				

Examiner Signature		Date Considered	8/10/05
--------------------	--	-----------------	---------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

On May 18, 2004
TOWNSEND and TOWNSEND and CREW LLP

By: M7D

Mark T. Davis
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Julin Wan, Amiya K. Mukherjee,
and Matthew J. Gasch

Application No.: 10/773,758

Filed: February 6, 2004

For: NANOCOMPOSITES OF SILICON
NITRIDE, SILICON CARBIDE, AND
BORON NITRIDE

Examiner: Not Yet Assigned

Art Unit: 1755

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08B are being called to the attention of the Examiner. Copies of the non-U.S. references are enclosed. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications filed after June 30, 2003, applicant has not submitted copies of the U.S. references.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Page 1
PATENT
Attorney Docket No.: 02307Z-132800US
Client Reference No.: 2003-145-1

5fw

Julin Wan, Amiya K. Mukherjee, and Matthew J.
Gasch
Application No.: 10/773,758
Page 2

PATENT

Applicant believes that no fee is required for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



M. Henry Heines
Reg. No. 28,219

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: 415-576-0200
Fax: 415-576-0300
MHH:mtd
60217872 v1